



Substitute for form

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/540,209
Filing Date	05/24/2006
First Named Inventor	Burkard Otto HERBERT
Art Unit	3651
Examiner Name	Leslie August Nicholson III
Attorney Docket Number	WI 2098 PCT-US

[illegible][illegible]

Examiner Signature	/Leslie A. Nicholson III/
--------------------	---------------------------

Date Considered	07/25/2008
-----------------	------------

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 and select option**

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LN/

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 4

**Complete if Known**

Application Number	10/540,209
Filing Date	05/24/2006
First Named Inventor	Burkard Otto HERBERT
Art Unit	3651
Examiner Name	Leslie August Nicholson III
Attorney Docket Number	WI.2098 PCT-US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	NO AUTHOR LISTED, "Rotations - Tiefdruckmaschinen fur den Zeitschriftendruck", Cerutti,	
	2	NO AUTHOR LISTED, "Rotations- Tiefdruckmaschinen fur Verpackungsmaterialien", Cerutti,	
	3	NO AUTHOR LISTED, "Tiefdruck, Tiefdruck-Rotationsmaschinen fur die Herstellung von Magazinen, Katalogen und Prospekten", Koenig & Bauer-Albert,	
	4	NO AUTHOR LISTED, "Albert-Frankenthal Seileinzugssystem Automatischer Bahneinzug vom Abroller bis zum Uberbau,"	
	5	NO AUTHOR LISTED, "Edizione 1990," Mario Cotta,	
	6	NO AUTHOR LISTED, ""Falzapparate Albert-Tiefdruckrotationen", " Albert-Frankenthal,	
	7	NO AUTHOR LISTED, ""Tiefdruck Rotationen", " Albert Frankenthal,	
	8	NO AUTHOR LISTED, ""TR6B-Anlage", "TR6B-Anlagen", "TR4 S-Anlage", "	
	9	NO AUTHOR LISTED, ""Albert-Aladin Mikrocomputersystem fur Tiefdruck", " Albert-Frankenthal,	
	10	NO AUTHOR LISTED, ""Tiefdruckwerke Albert-Tiefdruckrotationen", " Albert-Frankenthal,	

Examiner Signature	/Leslie A. Nicholson III/	Date Considered	07/25/2008
--------------------	---------------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LN/

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 4

**Complete if Known**

Application Number	10/540,209
Filing Date	05/24/2006
First Named Inventor	Burkard Otto HERBERT
Art Unit	3651
Examiner Name	Leslie August Nicholson III
Attorney Docket Number	W1.2098 PCT-US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	11	NO AUTHOR LISTED, ""Magazinuberbau System Rapid Albert-Tiefdruckrotationen", " Albert-Frankenthal,	
	12	NO AUTHOR LISTED, ""Albert Frankenthal Gravure Rotaries", " Albert Frankenthal,	
	13	NO AUTHOR LISTED, "Photo of Albert TRSS,"	
	14	NO AUTHOR LISTED, ""Double Reel Stands Albert Gravure Rotaries", " Albert-Frankenthal,	
	15	NO AUTHOR LISTED, ""Albert Proof Printing Gravure Rotaries", " Albert-Frankenthal,	
	16	NO AUTHOR LISTED, ""Automatic Rope-type Webbing System Albert Gravure Rotaries", " Albert-Frankenthal,	
	17	NO AUTHOR LISTED, ""Albert Gravure Printing", " Albert-Frankenthal,	
	18	NO AUTHOR LISTED, ""Press Configurations - Albert Gravure Rotaries", " Albert-Frankenthal,	
	19	NO AUTHOR LISTED, ""Albert Aladin - Micro-computer system for Gravure Printing", " Albert-Frankenthal,	
	20	NO AUTHOR LISTED, ""Gravure Printing Units Albert Gravure Rotaries", " Albert-Frankenthal,	

Examiner Signature	/Leslie A. Nicholson III/	Date Considered	07/25/2008
--------------------	---------------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LN/

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 4

**Complete if Known**

Application Number	10/540,209
Filing Date	05/24/2006
First Named Inventor	Burkard Otto HERBERT
Art Unit	3651
Examiner Name	Leslie August Nicholson III
Attorney Docket Number	WI.2098 PCT-US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	21	NO AUTHOR LISTED, ""Magazine Super-structure, "Rapid" system Albert Gravure Rotaries", " Albert-Frankenthal,	
	22	NO AUTHOR LISTED, ""Folder-Albert Gravure Rotaries", " Albert-Frankenthal,	
	23	NO AUTHOR LISTED, ""Gravure Printing, Gravure Printing Machines for the production of magazines, catalogues and brochures", " Koenig & Bauer-Albert,	
	24	NO AUTHOR LISTED, ""Gravure Rotary TR 7 B/308 Magazine production from start to finish", "	
	25	NO AUTHOR LISTED, ""Installation Planning Perfection in detail, Efficient use of Gravure Rotaries in line with environmental protection", "	
	26	NO AUTHOR LISTED, ""Reel Stand Model DRE with electric drive", "	
	27	NO AUTHOR LISTED, ""Robotic forme cylinder exchange technology, Albert-Frankenthal Gravure Technology, Always a step ahead", "	
	28	NO AUTHOR LISTED, ""Harmonic-Drive draw roller drive Stable with extremely fine regulation", "	
	29	NO AUTHOR LISTED, ""Double-width folders-up to 6 deliveries, Folder technology, HIGH TECH from Albert-Frankenthal", "	
	30	NO AUTHOR LISTED, ""ALADIN production data aquisition", "	

Examiner Signature	/Leslie A. Nicholson III/	Date Considered	07/25/2008
--------------------	---------------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LN/

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

4

C

4

Attorney Docket Number

**Complete if Known**

Application Number

10/540.209

Filing Date

05/24/2006

First Named Inventor

Burkard Otto HERBERT

Art Unit

3651

**Examiner Name**

Leslie August Nicholson III

Attorney Docket Number

W1.2098 PCT-US

#### NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner  
Signature

/ Leslie A. Nicholson III /

Date  
Considered

07/25/2008

This collection of information is required by 37 CFR 1.97 and 1.98. The information is provided to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LN/